

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei Yamazaki et al.                      Art Unit : 2818  
Serial No. : 10/754,701                                      Examiner : Dao H. Nguyen  
Filed : January 12, 2004                                      Confirmation No.: 9100  
Title : LIGHT EMITTING DEVICE AND ELECTRICAL APPLIANCE

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

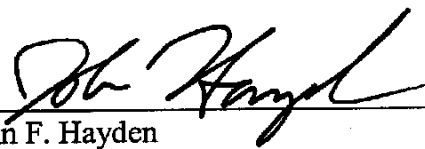
Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. The fee in the amount of \$180 in payment of the late submission fee of §1.17(p) is being paid concurrently herewith on the Electronic Filing System (EFS) by way of Deposit Account authorization. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: March 6, 2006

**Customer No. 26171**  
Fish & Richardson P.C.  
1425 K Street, N.W. - 11th Floor  
Washington, DC 20005-3500  
Telephone: (202) 783-5070  
Facsimile: (202) 783-2331  
/adt  
40325479.doc

  
\_\_\_\_\_  
John F. Hayden  
Reg. No. 37,640

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| Substitute Form PTO-1449<br>(Modified)<br><br><b>Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary)<br><br>(37 CFR §1.98(b)) | U.S. Department of Commerce<br>Patent and Trademark Office |  | Attorney's Docket No.<br><b>07977-276002</b> | Application No.<br><b>10/754,701</b> |
|  | Applicant<br><b>Shunpei Yamazaki et al.</b>                |  |  |                                      |
|  | Filing Date<br><b>January 12, 2004</b>                     |  | Group Art Unit<br><b>2818</b>                |                                      |

**U.S. Patent Documents**

| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee         | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------|-----------------|------------------|------------------|-------|----------|----------------------------|
|                  | AA        | 2003-0054186    | 03/20/2003       | MIYASHITA et al. |       |          | 11/25/1997                 |
|                  | AB        | 6,689,492       | 02/10/2004       | YAMAZAKI et al.  |       |          | 06/02/2000                 |
|                  | AC        | 6,680,577       | 01/20/2004       | INUKAI et al.    |       |          | 11/17/2000                 |
|                  | AD        |                 |                  |                  |       |          |                            |
|                  | AE        |                 |                  |                  |       |          |                            |
|                  | AF        |                 |                  |                  |       |          |                            |
|                  | AG        |                 |                  |                  |       |          |                            |
|                  | AH        |                 |                  |                  |       |          |                            |

**Foreign Patent Documents or Published Foreign Patent Applications**

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Sub-class | Translation |    |
|------------------|-----------|-----------------|------------------|--------------------------|-------|-----------|-------------|----|
|                  |           |                 |                  |                          |       |           | Yes         | No |
|                  | AI        | EP0 880 303     | 25 NOV 1998      | EUROPE                   |       |           | In English  |    |
|                  | AJ        | EP1 058 484     | 06 DEC 2000      | EUROPE                   |       |           | In English  |    |
|                  | AK        | EP1 103 947     | 30 MAY 2001      | EUROPE                   |       |           | In English  |    |
|                  | AL        |                 |                  |                          |       |           |             |    |
|                  | AM        |                 |                  |                          |       |           |             |    |

**Other Documents (include Author, Title, Date, and Place of Publication)**

| Examiner Initial | Desig. ID | Document   |
|------------------|-----------|--|
|                  | AN        | P. Jolliet et al., "Cyclometalated Complexes of PALLADIUM(II) and Platinum(II): cis-Configured Homoleptic and Heteroleptic Compounds with Aromatic C N Ligands," <i>Journal of the American Chemical Society</i> , 1996, Vol. 35, No. 17, pp. 4883-4888. |
|                  | AO        | X. Ren et al., "Organometallic Complexes as Hole-Transporting Materials in Organic Light-Emitting Diodes," <i>Inorganic Chemistry</i> , 2004, Vol. 43, No. 5, pp. 1697-1707.   |
|                  | AP        | European Search Report (Application No. 01112477.3), November 17, 2005, 4 pages.   |
|                  | AQ        |  |

|  |                 |
|--|-----------------|
| Examiner Signature   | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |